



**EFS-Web**

## **Quick Start Guide**

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**Prioritized Examination for  
Non-Provisional Utility Applications**



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## 1. Introduction

Prioritized Examination for newly filed applications (Track I) and for requests for continued examination (PE-RCE) provides applicants with greater control over when their applications are examined and promotes greater efficiency in the patent examination process. Prioritized Examination allows applicants who submit a request and pay an additional fee to have their application accorded special status during prosecution before the patent examiner.

The resource page for Prioritized Examination can be located at:

[http://www.uspto.gov/aia\\_implementation/patents.jsp#heading-5](http://www.uspto.gov/aia_implementation/patents.jsp#heading-5)

## 2. Basic Guidelines for Filing a Request for Prioritized Examination:

- User must be able to access EFS-Web.
- User must be a registered eFiler, except that an unregistered eFiler may file a new application which includes a request for Track I prioritized application.
- Applicants are strongly advised to transmit their electronic filings sufficiently early in the day to allow time for alternative filing such as EFS-Web Contingency when transmission cannot be initiated or correctly completed.
  - It is strongly recommended that applicants use the Office's certification and request form PTO/SB/424 to request prioritized examination, but the form is not required. The form is available on the Office's Internet Web site at <http://www.uspto.gov/forms/index.jsp>. Failure to use form PTO/SB/424 could result in the Office not recognizing the request or delays in processing the request. If applicant decides to use an applicant-created form for requesting prioritized examination (Track I or PE-RCE), applicant's form should be an equivalent to the Office's form. See the Federal Register Notice titled "Changes to Implement the Prioritized Examination Track (Track I) of the Enhanced Examination Timing Control Procedures under the Leahy-Smith America Invents Act" available at [http://www.uspto.gov/aia\\_implementation/patents.jsp#heading-5](http://www.uspto.gov/aia_implementation/patents.jsp#heading-5).
- Consult the current fee schedule available at <http://www.uspto.gov/about/offices/cfo/finance/fees.jsp> for the correct fee amounts. The fees required to be paid on a utility patent application upon filing a request for prioritized examination of that application are:
  - Basic filing fee, as set forth in 37 CFR 1.16(a).

- Search fee, as set forth in 37 CFR 1.16(k).
- Examination fee, as set forth in 37 CFR 1.16(o).
- Publication fee, as set forth in 37 CFR 1.18(d).
- Prioritized examination processing fee, as set forth in 37 CFR 1.17(i).
- Prioritized examination fee, as set forth in 37 CFR 1.17(c).
- If applicable, any application size fee, due because the specification and drawings exceed 100 sheets of paper, as set forth in 37 CFR 1.16(s).
- If applicable, any excess independent claim fee, due because the number of independent claims exceeds three, as set forth in 37 CFR 1.16(h).
- If applicable, any excess claim fee, due because the number of claims exceeds twenty, as set forth in 37 CFR 1.16(i).
- If requesting prioritized examination for an RCE, RCE fee, as set for in 37 CFR 1.17(e).

Prioritized Examination requests via EFS-Web are accepted only for nonprovisional utility applications. Requests for prioritized examination for plant applications must be filed by paper.

- If a Prioritized Examination request has been submitted but a necessary component has been omitted, the omitted component must be submitted as a follow-on paper on the same day as the original submission. Prioritized Examination requests that are not complete on the day they are submitted will be dismissed.
- For more information on submitting applications electronically via EFS-Web please review the EFS-Web Guidance and Resources page located at <http://www.uspto.gov/patents/process/file/efs/guidance/index.jsp>. A link to the Federal Register notice entitled “Changes to Implement the Prioritized Examination Track (Track I) of the Enhanced Examination Timing Control Procedures under the Leahy-Smith America Invents Act” is available at [http://www.uspto.gov/aia\\_implementation/patents.jsp#heading-5](http://www.uspto.gov/aia_implementation/patents.jsp#heading-5).

**Warning:** For your protection, your EFS-Web sessions will time-out after one hour of inactivity. A Prioritized Examination request that has not been submitted or saved after one hour of inactivity will be lost. You will need to login to EFS-Web again and re-enter the Prioritized Examination from the start.

### 3. Filing a Request for Prioritized Examination of a Newly Filed Application (Track I):

#### Application Process

Once you have signed on to EFS-Web as a registered user, you will need to certify that you are the certificate holder or working under the authority of the certificate holder. You also have the ability to submit this request as an unregistered eFiler.

To submit a Track I – Prioritized Examination on the certification screen select the radio button **“New Application”**. The Web screen will expand to display additional options. Select the radio button located next to **“Utility”** to display more options and then select the radio button **“Track I Prioritized Examination – Nonprovisional Application under 35 USC 111(a)”**. Next, to view the “Application Data” screen, click on the **“Continue”** button.

**Your Digital Certificate has been authenticated - please certify your identity:**

☒ I certify that I am the certificate holder **Joe Inventor**


☐ I certify that I am working under the authority of the certificate holder: **Joe Inventor**



—\*Main Functions—


☒ **New application**


*(This includes new filings of continuation, divisional, and continuation-in-part applications. A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents and must be filed as a registered eFiler.)*


—\*Select Type of New Application—


☒ **Utility (Please select a type below)** 


☒ ** Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a)** 


☐ Accelerated Exam 


☐ Nonprovisional Application under 35 USC 111(a) 


☐ Provisional 


☐ Reexam 


☐ Reissue 

☐ U.S. National Stage under 35 USC 371 


☐ Design (Please select a type below) 

☐ International Application for filing in the US receiving office 

☐ Existing application/patent 

☐ Express Filing (Zip submission) 

☐ My Workplace

 [Privacy Policy](#)

## Application Data

On the “Application Data” screen please complete the bibliographical data. If you are a registered eFiler you will be able to select a Customer Number from a drop down menu or key in a valid Customer Number. If you do not have a Customer Number you may provide a Correspondence Address. To reach the “Attach Documents” screen, click on the “**Continue**” button.

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

### Application Data

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.  
All items denoted by \* are required.

\* Title of Invention:

Attorney Docket Number:

**First Named Inventor:**

\* First Name:

Middle Name:

\* Last Name:

**Correspondence Address:**

Enter a customer number for correspondence or provide an address where USPTO should send correspondence for this application.

☒ Customer Number  Please select a valid Customer Number

OR

☐ Correspondence Address

[Privacy Policy](#)

## Attach Documents

On the “Attach Documents” screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**) or its equivalent. Failure to use form (PTO/SB/424) or its equivalent could result in the Office not recognizing the request or delays in processing the request. Please click on the “**Browse**” button to upload your completed document. Once the form has been attached, you will need to index the document correctly. Help text displayed below the application type provides the correct indexing of the document. For the “Category” drop down menu you will select “**Track I – Prioritized Examination**” and on the “Document Description” drop down menu you will select “**TrackOne Request**”. Once the appropriate selections have been made, you will then click on the button “**Upload & Validate**”. You will need to attach and index each of your application documents.

**EFS Registered**

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

**Attach Documents**

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

This is the application data associated with your filing. If any information is incorrect, please edit the [Application Data](#)

Title of Invention	My Important Invention
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address	59 USPTO Test Customer Number Patent Electronic Business Center 600 DULANY STREET 8TH FLOOR ALEXANDRIA12 VA 22314 US est11g@email.com 999-999-9999
Filed By	Joe Inventor
Attorney Docket Number	
Application Type	Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a)

**A Track I application must include the PTO/SB/424 Form (Certification and Request for Prioritized examination).**

Category	Document Description	Doc Code
TRACK I - Prioritized Examination	TrackOne Request	TRACK1.REQ

Files to be Submitted	Category	Document Description	
1 C:\Documents and Settings\ksmr Browse...	Track I - Prioritized Examination	TrackOne Request	Delete
Does your PDF file contain multiple documents? <input type="radio"/> Yes <input checked="" type="radio"/> No			
Add File			

Users are advised not to submit **credit card** payment form **PTO-2038** via EFS-Web. Submission of the credit card payment form via EFS-Web may result in the form being included among the patent or trademark records open for public inspection. Users choosing to pay with a credit card should instead utilize the on-line payment method available through EFS-Web.

Privacy Policy | Cancel | Upload & Validate | Review

Please Upload & Validate before Review

## Review Documents

On the “Review Documents” screen a message will appear to include the Certification and Request for Prioritized Examination form (PTO/SB/424), although the user is able to proceed without attaching the PTO/SB/424. Once you have uploaded all of the necessary documents for your submission and received no validation errors click on the **“Continue”** button to reach the “Calculate Fees” screen.

Registered eFilers	Please Read Announcements	Application Data	Attach Documents	Review Documents	Calculate Fees	Confirm & Submit	Pay Fees	Receipt
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### Review Documents

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

**This is the application data associated with your filing. If any information is incorrect, please edit the [Application Data](#)**

Title of Invention	My Important Invention
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address	59 USPTO Test Customer Number Patent Electronic Business Center 600 DULANY STREET 8TH FLOOR ALEXANDRIA12 VA 22314 US est11g@email.com 999-999-9999
Filed By	Joe Inventor
Attorney Docket Number	
Application Type	Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a)

**To Review a Document, please click on the document name. To add more files please [Attach Files](#)**

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size	
PASS	<a href="#">sb0424.pdf</a>	NO	TrackOne Request	2	132635 bytes	<a href="#">Edit</a> <a href="#">Remove</a>
◆ No validation errors found.						
PASS	<a href="#">sb0014.pdf</a>	NO	Application Data Sheet	4	1023022 bytes	<a href="#">Edit</a> <a href="#">Remove</a>
◆ No validation errors found.						
PASS	<a href="#">Specification.pdf</a>	NO	Specification	1	23860 bytes	<a href="#">Edit</a> <a href="#">Remove</a>
◆ No validation errors found.						
PASS	<a href="#">Claims.pdf</a>	NO	Claims	1	21826 bytes	<a href="#">Edit</a> <a href="#">Remove</a>
◆ No validation errors found.						
PASS	<a href="#">Drawings.pdf</a>	NO	Drawings	1	23467 bytes	<a href="#">Edit</a> <a href="#">Remove</a>
◆ No validation errors found.						

[Privacy Policy](#)
[Cancel](#)
[Attach Files](#)
[Revalidate](#)
[Continue](#)

## Calculate Fees

On the “Calculate Fees” screen, under the Utility Patent Application Filing Fees section, select the checkbox for “**Track I – Prioritized Exam**”. Once that box is checked it will automatically check the boxes for Filing, Search, and Exam fees. Under Miscellaneous Fees, the Processing and Publication fees are automatically checked as well. For Track



I – Prioritized Examination the processing and publication fees are required at filing. Once you have checked any additional appropriate boxes, click on the “**Calculate**” button to add up the selected fees. Next, click on the “**Continue**” button to reach the Confirm & Submit screen.

If you choose to pay fees in a follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day under Track I requirements. You will receive a warning message if required fees are unchecked.

**EFS Registered**

Registered eFilers ☒ Please Read Announcements Application Data Attach Documents Review Documents Calculate Fees Confirm & Submit Pay Fees Receipt

**Calculate Fees**

If you are not paying fees for this filing at this time, you may continue to [Confirm/Submit](#)

Failure to pay these fees on filing a new application will result in a surcharge. See [37 CFR 1.16 \(f\) and \(g\)](#).

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

Current Business Size: **Large Entity**  
Change Business Size: [Large Entity](#) [Small Entity](#) ⓘ

**Fee Calculator**

Total Fees Due: \$

☒ **Utility Patent Application Filing Fees** (select all that apply)

	<input checked="" type="checkbox"/> Filing	<input checked="" type="checkbox"/> Search	<input checked="" type="checkbox"/> Exam	<input checked="" type="checkbox"/> Track I - Prioritized Exam ⓘ	
Amount	\$380	\$620	\$250	\$4800	
Fee Code	1011	1111	1311	1817	Sub-Total \$ <input type="text"/>

**Application Size Fee - Number of Pages in the Specification (including any external tables) and drawings** ⓘ

Was this application originally filed in paper? ☐ Yes ☒ No

Number of Pages  \*.75 =  / 100 =  / 50 =  \* \$ 310 = Sub-Total \$

**Claims** (select all that apply)

Claims in excess of twenty  \* \$60 = \$

Independent claims in excess of three  \* \$250 = \$

☐ Multiple Dependent Claims(\$450) = \$  Sub-Total \$

**Miscellaneous Fees:** (select all that apply)

☐ Non-English Specification (\$ 130)

☒ Processing fee, except in provisional applications (\$ 130)  
For the Track I (Prioritized Examination) Program, the processing fee is required at filing.

☒ Publication Fee for early, voluntary or normal publication (\$ 300) ⓘ  
For the Track I (Prioritized Examination) Program, the publication fee is required at filing.

Sub-Total \$

☐ **Petition Filing Fees** (select all that apply)

[Privacy Policy](#)

## Confirm & Submit

On the Confirm & Submit screen, Registered eFilers will have the opportunity to save their submissions to file at a later time. To do so click on the button “**Save for Later Submission**” listed at the bottom of the screen. Saved submissions will be available for seven calendar days from the initial date saved. You may also review a document by clicking on the document name. To submit your application click on the “**Submit**” button located at the bottom of the screen.

Registered eFilers	Please Read Announcements	Application Data	Attach Documents	Review Documents	Calculate Fees	Confirm & Submit	Pay Fees	Receipt
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**Submit Application**  
A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

[Save for Later Submission](#)

**This is the application data associated with your submission. If any information is incorrect, please edit the [Application Data](#)**

Title of Invention	My Important Invention
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address	59 USPTO Test Customer Number Patent Electronic Business Center 600 DULANY STREET 8TH FLOOR ALEXANDRIA12 VA 22314 US est11g@email.com 999-999-9999
Filed By	Joe Inventor
Attorney Docket Number	
Application Type	Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a)

**This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)**

Total Fees Due: \$

**To Review a Document, please click on the document name.**

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	<a href="#">sb0424.pdf</a> ◆No validation errors found.	2	TrackOne Request	132635 byte	◆ PASS
2	<a href="#">sb0014.pdf</a> ◆No validation errors found.	4	Application Data Sheet	1023022 byte	◆ PASS
3	<a href="#">Specification.pdf</a> ◆No validation errors found.	1	Specification	23860 byte	◆ PASS
4	<a href="#">Claims.pdf</a> ◆No validation errors found.	1	Claims	21826 byte	◆ PASS
5	<a href="#">Drawings.pdf</a> ◆No validation errors found.	1	Drawings	23467 byte	◆ PASS
6	<a href="#">fee-info.pdf</a> ◆No validation errors found.	2	Fee Worksheet (PTO-06)	39141 byte	◆ PASS

[Edit Attached Files](#)

[Privacy Policy](#) [Save for Later Submission](#) [Cancel](#) [Submit](#) Please click Submit only once

After clicking the “**Submit**” button the next screen will indicate that the USPTO has received your submission. EFS-Web will display the application number, confirmation number, EFS-ID number, and the total fees due. You have the option of paying the fees immediately or paying the fees later. As a registered eFiler you may choose to pay fees later as a follow-on submission. If you are filing as an unregistered eFiler and wish to pay fees online, your payment should be included with the current submission, since unregistered eFilers cannot file a follow-on submission. Fee payment is considered

timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now click on the **“YES! I want to pay now”** button.

EFS Registered

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

**Congratulations! You are ready to pay fees associated with your submission.**

16:21:37 Eastern Time on 19-SEP-2011 [E-mail Receipt Info](#)

**The USPTO has received your submission.** An Acknowledgement Receipt will be received in due course. Prior to receiving the Acknowledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of their submission and access Private PAIR to view the actual documents filed. You may continue by paying fees. For questions, contact the EBC. See the [EBC](#) Web Page for contact info and hours.

**The following identification numbers are associated with your submission.**

Application Number	59946043
Confirmation Number	9425
EFS ID	4369463

**The following fees are due.**

Total Fees Due: \$

The USPTO's RAM payment server is ready to accept your fee payments using a [USPTO Deposit Account](#), an [Electronic Funds Transfer](#), or one of the following credit cards: *American Express, Discover, MasterCard, or Visa*. Please have all access codes, account numbers and account holder information ready to ensure a successful online payment experience.

Would you like to pay your fees at this time?

## Pay Fees

When paying fees, you have the option of paying via Charge USPTO Deposit Account, Charge Credit Card, or Electronic Funds Transfer. Select the radio button that coincides with your method of payment. The review fees section of the Pay Fees screen will display the fees being collected for the application. The Total Payment is listed on the bottom right of the screen. To make a payment, click the **“Start online payment process”** button located at the bottom of the screen.

EFS Registered

Registered eFilers
Please Read Announcements
Application Data
Attach Documents
Review Documents
Calculate Fees
Confirm & Submit
Pay Fees
Receipt

Review fees and select payment method

review fees
make payment
fee receipt

Your Acknowledgement Receipt (evidence of this submission) will be provided upon completion of this payment transaction, or, upon choosing to not pay.

This is the application for which you are paying fees.

EFS ID	4369463
Application Number	59946043
Confirmation Number	9425
Title of Invention	My Important Invention
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address	59
Filed By	Joe Inventor
Attorney Docket Number	
Application Type	Track I Prioritized Examination - Nonprovisional Application under 35 USC 111(a)

The following fees will be collected for this application.

Fee Description	Fee Code	Quantity	Fee Amount	Fee Total
Utility application filing	1011	1	380	380
Utility Search Fee	1111	1	620	620
Utility Examination Fee	1311	1	250	250
Request for Prioritized Examination	1817	1	4800	4800
Publ. Fee- early, voluntary, or normal	1504	1	300	300
Processing Fee, except for Provis. apps	1808	1	130	130

Total Payment \$

Select method of payment

The U.S. Patent and Trademark Office supports Secure Sockets Layer (SSL) for the security of all transactions. Read more... [about the security of your transaction](#).

☐ Charge USPTO Deposit Account
☐ Charge Credit Card
☐ Electronic Funds Transfer

Start online payment process

## Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the screen. You also have several actions you may take, such as printing or saving the receipt. If you submit your application as a registered eFiler you also have the option of viewing the acknowledgement receipt under My Workplace of EFS-Web. The Acknowledgement Receipt displays the application details of the submission, including the total amount paid.

Registered eFilers	Please Read Announcements	Application Data	Attach Documents	Review Documents	Calculate Fees	Confirm & Submit	Pay Fees	Receipt
<b>Acknowledgement Receipt</b>								
The USPTO has received your submission at <b>17:01:18 EST</b> on <b>20-SEP-2011</b> .								
\$ <input type="text"/> fee paid by e-Filer with <a href="#">RAM</a> Confirmation Number: 2430.								
<b>eFiled Application Information</b>								
EFS ID	4369890			You may take the following actions: <a href="#">E-mail Receipt Info</a> <a href="#">Print Receipt</a> <a href="#">Save Receipt</a> <a href="#">File Another Application</a> <a href="#">File an Assignment of Ownership</a> <a href="#">Pay Maintenance Fees</a> <a href="#">Access Private PAIR</a>				
Application Number	59946050							
Confirmation Number	4598							
Title of Invention	My Important Invention							
First Named Inventor	Joe Inventor							
Customer Number or Correspondence Address	59							
Filed By	Joe Inventor							
Attorney Docket Number								
Filing Date								
Receipt Date	20-SEP-2011							
Application Type	Utility under 35 USC 111(a)							
<b>Application Details</b>								
<b>Submitted Files</b>	<b>Page Count</b>	<b>Document Description</b>	<b>File Size</b>	<b>Warnings</b>				
<a href="#">sb0424.pdf</a>	2	TrackOne Request	132635 bytes	◆ PASS				
<a href="#">sb0014.pdf</a>	4	Application Data Sheet	1023022 bytes	◆ PASS				
<a href="#">Specification.pdf</a>	1	Specification	23860 bytes	◆ PASS				
<a href="#">Claims.pdf</a>	1	Claims	21826 bytes	◆ PASS				
<a href="#">Abstract.pdf</a>	1	Abstract	22206 bytes	◆ PASS				
<a href="#">Drawings.pdf</a>	1	Drawings	23467 bytes	◆ PASS				
<a href="#">fee-info.pdf</a>	2	Fee Worksheet (PTO-06)	39143 bytes	◆ PASS				
The acknowledgement receipt may be printed or saved to the applicant's computer. Further, a copy of the acknowledgement receipt has been placed in the electronic application file, which may be accessed through Private PAIR.								

To ensure that your application is complete and loaded correctly to USPTO internal systems, you can review your filed application in Private PAIR as a Registered eFiler. Submissions are available in Private PAIR approximately 15 minutes after they are submitted in EFS-Web.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email [ebc@uspto.gov](mailto:ebc@uspto.gov) or telephone 866-217-9197.

## 4. Filing a Request for Prioritized Examination for an RCE:

### Application Process

Prioritized Examination may also be requested for an existing application in which an RCE has been filed, or is concurrently being filed. Once you have signed on to EFS-Web as a registered user, you will need to certify that you are the certificate holder or working under the authority of the certificate holder.

To submit a request for Prioritized Examination for an RCE, on the certification screen select the radio button “**Existing Application**”. The Web screen will expand to display additional options. Select the radio button located next to “**Documents/Fees for an existing application**” to display more options and then enter the application number and confirmation number for the application.

The screenshot shows a web form titled "Existing application/patent" with an information icon. Below the title is a section "Select Type of Submission for Existing Application". The first option, "Documents/Fees for an existing application", is selected with a radio button. A note below this option states: "(A request for continued examination (RCE) and continued prosecution application (CPA) are considered existing documents)". Below this, there are two input fields: "\*Application Number" and "\*Confirmation Number". The Application Number field has a red border and is followed by the text "(EXAMPLE: 99999999 , 99/999999, 99/999,999 or PCT/USYY/99999)" and an information icon. The Confirmation Number field also has a red border and is followed by the text "(EXAMPLE: 1234)" and an information icon. Below these fields are three unselected radio button options: "ePetition (for automatic processing and immediate grant, if all petition requirements are met)", "eProcessing (Electronic Terminal Disclaimer and other requests for automatic processing)", and "Pre-Grant Publication under 37 CFR 1.211 to 1.221", each with an information icon.

Next, to view the Application Data Screen, click on “**Continue**”. The procedure will differ depending on whether the application was filed as an original U.S. application under 35 U.S.C. 111 (see part A), or filed as a national stage entry of an international application under 35 U.S.C. 371 (see part B).

#### A. Process for an original U.S. application filed under 35 U.S.C. 111

After entering the application identifier as discussed above, the “Attach Documents” screen will appear.

## Attach Documents

This is the application data associated with your filing. If any information is incorrect, please click [Cancel](#)

Application Number	59000000
Title of Invention	Test Invention for Prioritized Examination
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address Filed By	59
Attorney Docket Number	123456
Application Type	Utility under 35 USC 111(a)

Files to be Submitted	Category	Document Description	
2:\Prioritized_Examination\sb0424.pdf <a href="#">Browse...</a> Does your PDF file contain multiple documents? <input type="radio"/> Yes <input checked="" type="radio"/> No	Track I - Prioritized Examination	TrackOne Request	<a href="#">Delete</a>
3 C:\Prioritized_Examination\RCE.pdf <a href="#">Browse...</a> Does your PDF file contain multiple documents? <input type="radio"/> Yes <input checked="" type="radio"/> No	Continued Prosecution	Request for Continued Examination (RCE)	<a href="#">Delete</a>

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Check to make sure that the application type is listed as “Utility under 35 USC 111(a).” If the application type is listed as “U.S. National Stage under 35 USC 371,” please follow the guidance in part B, below.

On the Attach Documents screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**). Failure to use form (PTO/SB/424) or its equivalent could result in the office not recognizing the request or in delays in processing the request. Please click on the “**Browse**” button to upload your completed document. Once the form has been attached, you will need to index the document correctly. For the Category drop down menu you will select “**Track I – Prioritized Examination**” and on the Document Description drop down menu you will select “**TrackOne Request**”. Once the appropriate selections have been made, you will then click on the button “**Upload & Validate**”. You will need to attach and index any additional documents.

The above screen image represents a situation in which an applicant is filing a request for prioritized examination concurrently with a request for continued examination. Applicant has filled out and attached form PTO/SB/424 and a request for continued examination. If applicant had previously filed a request for continued examination, applicant would only attach form PTO/SB/424.

After clicking on the “**Upload & Validate**” button, the “Review Documents” screen will appear. This screen will list all files attached to the current EFS-Web submission.

## Review Documents

To Review a Document, please click on the document name. To add more files please <a href="#">Attach Files</a>						
Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size 	
PASS	<a href="#">sb0424.pdf</a>	NO	TrackOne Request	2	101159 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						
PASS	<a href="#">RCE.pdf</a>	NO	Request for Continued Examination (RCE)	3	627265 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						

**⚠ Warning: When requesting prioritized examination for a new original utility nonprovisional application filed under 35 USC 111(a), the PTO/SB/424 (Track I Certification and Request) form must be filed on the same day (before Midnight Eastern Time) as the initial application.**

The warning text (in red font) applies only to Track I submissions, and does not apply to a request for prioritized examination for an RCE. Once you have uploaded all of the necessary documents for your submission and received no validation errors click on “**Continue**” to reach the “Calculate Fees” screen.

## Calculate Fees

On the “Calculate Fees” screen, under the Utility Patent Application Filing Fees section, select the checkbox for “**Track I – Prioritized Exam**”. Once that box is checked it will automatically check the boxes for Processing and Publication fees as well. For Prioritized Examination for an RCE, the prioritized examination, processing and publication fees are required at the time of filing the request for prioritized examination.



Total Fees Due: \$

Was this application originally filed in paper? ☐ Yes ☒ No

☒ **Utility Patent Application Filing Fees**  
☐ **Reissue Patent Application Filing Fees** (select all that apply)

	<input type="checkbox"/> Filing	<input type="checkbox"/> Search	<input type="checkbox"/> Exam	<input checked="" type="checkbox"/> Track I - Prioritized Exam <a href="#">i</a>
Amount	\$380	\$620	\$250	\$4800
Fee Code	1011	1111	1311	1817

Sub-Total \$

**Application Size Fee - Number of Pages in the Specification (including any external tables) and drawings**

Number of Pages  \* .75 =  - 100 =  / 50 =  \* \$ 310 = Sub-Total \$

**Claims** (select all that apply)

Claims in excess of twenty  \* \$60 = \$

Independent claims in excess of three  \* \$250 = \$

☐ Multiple Dependent Claims(\$ 450) = \$  Sub-Total \$

**Miscellaneous Fees:** (select all that apply)

☐ Non-English Specification (\$ 130)

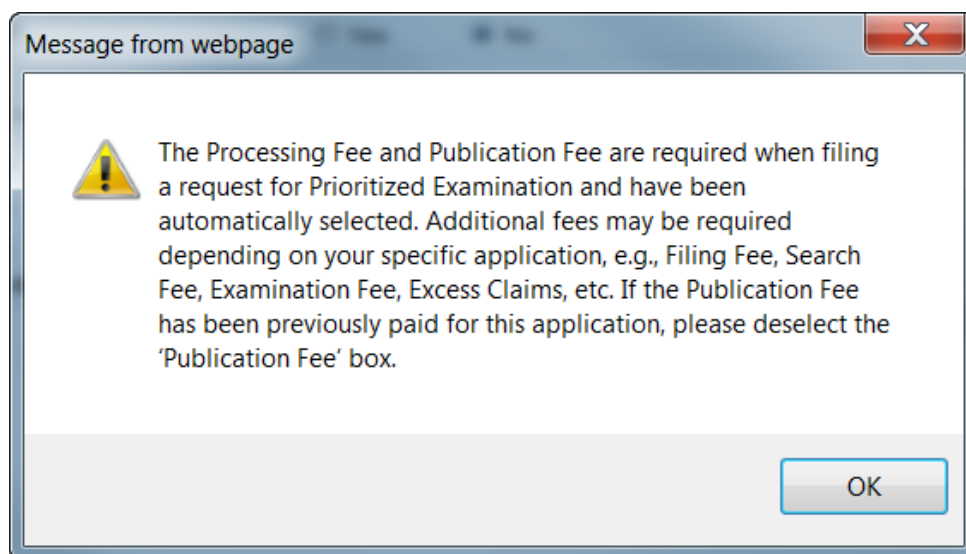
☒ Processing fee, except in provisional applications (\$ 130)  
*Requests for prioritized examination (Track I) require payment of the processing fee.*

☒ Publication for early, voluntary or normal publication (\$ 300) [i](#)  
*The publication fee for normal publication is not due until the application is allowed.  
Requests for early publication must include a separate statement requesting early publication.  
Requests for prioritized examination (Track I) require payment of the publication fee if not previously paid.*

☐ Surcharge - Late filing fee, search fee, examination fee or oath or declaration (\$ 130)

Sub-Total \$

If the publication fee has previously been paid for the application, please uncheck the related box. A pop-up window will remind you of the fee requirements:




If you choose to pay fees later in another follow-on submission, the fee payment must be processed before midnight 11:59:59 pm (Eastern Time) on the same day under Prioritized Examination requirements.

Once you have checked any additional appropriate boxes, click on the “**Calculate**” button to add up the selected fees. Next, click on the “**Continue**” button to reach the “Confirm & Submit” screen.

### **Confirm & Submit**

On the “Confirm & Submit” screen, you will have the opportunity to save your submission to file at a later time. To do so click on the button “**Save for Later Submission**” listed at the bottom of the screen. Saved submissions will be available for seven calendar days from the initial date saved. You may also review a document by clicking on the document name. To submit your application click on the “**Submit**” button located at the bottom of the screen.


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**This is the application data associated with your submission.**  

Application Number	59000000
Title of Invention	Test Invention for Prioritized Examination
First Named Inventor	Joe Inventor
Customer Number or Correspondence Address	59
Filed By	
Attorney Docket Number	123456
Application Type	Utility under 35 USC 111(a)


**This is the fee data associated with your submission. If the following amount is incorrect, please edit the [Fee Calculation](#)**  

Total Fees Due: \$ 5230

**To Review a Document, please click on the document name.**  

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	<a href="#">sb0424.pdf</a> No validation errors found.	2	TrackOne Request	101159 byte	PASS
2	<a href="#">RCE.pdf</a> No validation errors found.	3	Request for Continued Examination (RCE)	627265 byte	PASS
3	<a href="#">fee-info.pdf</a> No validation errors found.	2	Fee Worksheet (SB06)	32754 byte	PASS

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- To ask questions about Patent e-Filing, or to suggest improvements to the online system, please call the Patent Electronic Business Center at (866) 217-9197 (toll free) or send email to [EBC@uspto.gov](mailto:EBC@uspto.gov).
- Send general questions about USPTO programs to the [USPTO Contact Center \(UCC\)](#).
- If you experience technical difficulties or problems with this application, please report them via e-mail to [Electronic Business Support](#) or call 1 800-786-9199.
- For general questions regarding a petition, or requirements for filing a petition, contact the Office of Petitions Help Desk at 1 800-786-9199.

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The “Confirm & Submit” screen will show all attached files, including the “fee-info.pdf” file which is generated when the filer has selected fees in the Calculate Fees screen.

## Pay Fees

After clicking the submit button the next screen will indicate that the USPTO has received your submission. EFS-Web will display the application number, confirmation number, EFS-ID number, and the total fees due. You have the option of paying the fees immediately or paying the fees later. As a registered eFiler you may choose to pay fees later as a follow-on submission. Fee payment is considered timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now, click on the button **“YES! I want to pay now”**.

**EFS Registered**

Registered eFilers | Please Read Announcements | Application Data | Attach Documents | Review Documents | Calculate Fees | Confirm & Submit | Pay Fees | Receipt

**Congratulations! You are ready to pay fees associated with your submission.**

16:21:37 Eastern Time on 19-SEP-2011 [E-mail Receipt Info](#)

**The USPTO has received your submission.** An Acknowledgement Receipt will be received in due course. Prior to receiving the Acknowledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of their submission and access Private PAIR to view the actual documents filed. You may continue by paying fees. For questions, contact the EBC. See the [EBC Web Page](#) for contact info and hours.

**The following identification numbers are associated with your submission.**

Application Number	59946043
Confirmation Number	9425
EFS ID	4369463

**The following fees are due.**

Total Fees Due: \$

The USPTO's RAM payment server is ready to accept your fee payments using a [USPTO Deposit Account](#), an [Electronic Funds Transfer](#), or one of the following credit cards: *American Express, Discover, MasterCard, or Visa*. Please have all access codes, account numbers and account holder information ready to ensure a successful online payment experience.

Would you like to pay your fees at this time?

YES! I want to pay now NO - I will pay later (additional charges may be incurred)

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## Acknowledgement Receipt

Once you have provided all of the necessary payment information and your payment is processed successfully, you will receive your Acknowledgement Receipt on the screen. You also have several actions you may take, such as printing or saving the receipt. You also have the option of viewing the acknowledgement receipt under My Workplace of EFS-Web. The Acknowledgement Receipt displays the application details of the submission, including the total amount paid.

To ensure that your application is complete and loaded correctly to USPTO internal systems, you can review your filed application in Private PAIR as a Registered eFiler. Submissions are available in Private PAIR approximately 15 minutes after they are submitted in EFS-Web.

If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email [ebc@uspto.gov](mailto:ebc@uspto.gov) or telephone 866-217-9197.

## B. Process for a national stage entry of an international application under 35 U.S.C. 371

After entering the application identifier as discussed above, the “Attach Documents” screen will appear.

### Attach Documents

The above screen image represents a situation in which an applicant is filing a request for prioritized examination concurrently with a request for continued examination. Applicant has filled out and attached form PTO/SB/424, an authorization to charge the prioritized examination fee using form PTO/SB/17, and a request for continued examination. If applicant had previously filed a request for continued examination, applicant would only attach form PTO/SB/424.

On the “Attach Documents” screen, upload the Certification and Request for Prioritized Examination form (**PTO/SB/424**). Failure to use form (PTO/SB/424) or its equivalent could result in the office not recognizing the request or delays in processing the request. Please click on the “**Browse**” button to upload your completed document. Once the form has been attached, you will need to index the document correctly. For the Category drop down menu you will select “**Track I – Prioritized Examination**” and on the Document Description drop down menu you will select “**TrackOne Request**”.

You will also need to attach a form authorizing the fee for prioritized examination. Form PTO/SB/17 may be used for this purpose. When attaching the form, indicate the category as “General Transmittal” and the document description as “Fee Worksheet (SB06),” as shown on the screen image above. Form PTO/SB/17 may be completed as shown below:

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

**Fees Paid (\$)**

Other (e.g., late filing surcharge):

Prioritized Examination Fee

\$4800


Once the appropriate selections have been made, you will then click on the button **“Upload & Validate”**. You will need to attach and index any additional documents.

After clicking on the **“Upload & Validate”** button, the “Review Documents” screen will appear. This screen will list all files attached to the current EFS-Web submission.

### Review Documents

Application Type U.S. National Stage under 35 USC 371

To Review a Document, please click on the document name. To add more files please [Attach Files](#)

Validation Status	Files to be Submitted	Multi-Doc PDF	Document Description	Page Count	Size 	
PASS	<a href="#">sb0424.pdf</a>	NO	TrackOne Request	2	101159 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						
PASS	<a href="#">RCE.pdf</a>	NO	Request for Continued Examination (RCE)	3	627265 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						
PASS	<a href="#">fees_transmittal.pdf</a>	NO	Fee Worksheet (SB06)	4	149738 bytes	<input type="button" value="Edit"/> <input type="button" value="Remove"/>
◆ No validation errors found.						

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After clicking on the **“Continue”** button, the “Calculate Fees” screen will appear.

### Calculate Fees

Applicants should use the “Calculate Fees” screen to select the processing fee (listed under “Miscellaneous Patent Fees” as #1808). The “Calculate Fees” Tab should also be used to select the publication fee (listed under “Post Allowance and Post Issuance Fees” as #1504) and the RCE fee (listed under “Miscellaneous Patent Fees” as #1801) if these have not been previously paid.

## Calculate Fees

If you are not paying fees for this filing at this time, you may continue to [Confirm/Submit](#)

Failure to pay these fees on filing a new application will result in a surcharge. See [37 CFR 1.16 \(f\) and \(g\)](#).

A submission has not been filed officially at the USPTO until the e-filer executes the Submit function and the documents are received at the USPTO Eastern Time. The Acknowledgement Receipt is evidence of this submission.

Current Business Size: **Large Entity**

Change Business Size: [Large Entity](#) [Small Entity](#) [i](#)

## Fee Calculator

Total Fees Due: \$ 1360

### ☒ National Stage 371 Patent Application Filing Fees

	Fee Code	Amount
<input type="checkbox"/> Basic National Stage Fee	1631	\$380
<input type="checkbox"/> Search - US was ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	1640	\$0
<input type="checkbox"/> Search - US was the ISA	1641	\$120
<input type="checkbox"/> Search - Report Prepared and Provided to USPTO	1642	\$490
<input type="checkbox"/> Search - All Other Situations	1632	\$620
<input type="checkbox"/> Exam - US was ISA or IPEA and all claims satisfy PCT Article 33(1)-(4)	1643	\$0
<input type="checkbox"/> Exam - All Other Situations	1633	\$250

Sub-Total \$ 0

### Application Size Fee - Number of Pages in the Specification (including any external tables) and drawings [i](#)

Number of Pages 0 - 100 = 0 / 50 = 0 \* \$ 310 = Sub-Total \$ 0

### Claims (select all that apply)

Claims in excess of twenty 0 \* \$60 = \$ 0

Independent claims in excess of three 0 \* \$250 = \$ 0

☐ Multiple Dependent Claims(\$450)

= \$ 0 Sub-Total \$ 0

### Miscellaneous Fees: (select all that apply)

☐ Oath or declaration after thirty months from priority date (\$ 130)

☐ English Translation after thirty months from priority date (\$ 130)

Sub-Total \$ 0

### ☐ Petition Filing Fees (select all that apply)

### ☒ Miscellaneous Patent Fees (select all that apply)

Select fees (use CTRL+Cursor to select multiple fees)

1801 \$930 Request for continued examination  
1802 \$900 Request expedited exam of design appl  
1803 \$130 Request for voluntary publication or rep  
1806 \$180 Submission- Information Disclosure Stmt  
1807 \$50 Processing Fee for Provis. applications  
1808 \$130 Processing Fee, except for Provis. apps  
1809 \$810 Submission after final rejection  
1810 \$810 Each additional invention examined

Add Fee

Remove Fee

Selected fees (to be paid)

1801 \$930 Request for continued examination  
1808 \$130 Processing Fee, except for Provis. apps

8001 \$3 Printed copy of patent - no color

\* \$3 = \$ 0

1814 \$160 Statutory or terminal disclaimer

\* \$160 = \$ 0

Sub-Total Miscellaneous Fees \$ 1060

### ☒ Post Allowance & Post Issuance Fees (select all that apply)

Select fees (use CTRL+Cursor to select multiple fees)

1503 \$1370 Plant issue fee  
1504 \$300 Publ. Fee- early, voluntary, or normal  
1811 \$100 Certificate of correction  
1804 \$920 Prior examiners actio  
1805 \$1840 After examiners actio

Add Fee

Remove Fee

Selected fees (to be paid)

1504 \$300 Publ. Fee- early, voluntary, or normal

1814 \$160 Statutory or terminal disclaimer

\* \$160 = \$ 0

Sub-Total Post Allowance & Post Issuance Fees \$ 300

**WARNING: If paying the issue fee (and any publication fee that is due), the Issue Fee(s) Transmittal form (Part B) of the Notice of Allowance and Fee(s) Due form (PTOL-85), or its equivalent, must also be submitted as a PDF attachment to this EFS-Web submission, or alternatively, separately submitted on the same day as this electronic payment: 1) via the US Postal Service using express mail under 37 CFR 1.10 or with a certificate of mailing under 37 CFR 1.8; 2) by facsimile with a certificate of transmission under 37 CFR 1.8; or 3) by hand delivery. Click on the information I for more.** [i](#)

### ☐ Extension of Time Fees [i](#)

### ☐ Patent Appeals and Interference Fees (select all that apply)

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Application Type
U.S. National Stage under 35 USC 371

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Total Fees Due: \$ 1360

To Review a Document, please click on the document name.

Sequence	Files to be Submitted	Page Count	Document Description	File Size	Validation Status Message
1	<a href="#">sb0424.pdf</a>	2	TrackOne Request	101159 byte	PASS
	No validation errors found.				
2	<a href="#">RCE.pdf</a>	3	Request for Continued Examination (RCE)	627265 byte	PASS
	No validation errors found.				
3	<a href="#">fees_transmittal.pdf</a>	4	Fee Worksheet (SB06)	149738 byte	PASS
	No validation errors found.				
4	<a href="#">fee-info.pdf</a>	2	Fee Worksheet (SB06)	33723 byte	PASS
	No validation errors found.				

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later as a follow-on submission. Fee payment is considered timely if paid before midnight Eastern Time on the day the request is submitted. If you choose to pay now click on the **“YES! I want to pay now”** button.

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**The USPTO has received your submission.** An Acknowledgement Receipt will be received in due course. Prior to receiving the Acknowledgement Receipt, registered users may check "My Workplace" to confirm receipt by the USPTO of their submission and access Private PAIR to view the actual documents filed. You may continue by paying fees. For questions, contact the EBC. See the [EBC](#) Web Page for contact info and hours.

**The following identification numbers are associated with your submission.**

Application Number	59946043
Confirmation Number	9425
EFS ID	4369463

**The following fees are due.**

Total Fees Due: \$

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Would you like to pay your fees at this time?

☒ YES! I want to pay now ☐ NO - I will pay later (additional charges may be incurred)

When paying fees, you have the option of paying via Charge USPTO Deposit Account, Charge Credit Card, or Electronic Funds Transfer. Select the radio button that coincides with your method of payment. The review fees section of the Pay Fees screen will display the fees being collected for the application. The Total Payment is listed on the bottom right of the screen. To make a payment, click the **“Start online payment process”** button located at the bottom of the screen.

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If you have any questions or concerns regarding filing in EFS-Web, please contact the Patent EBC Monday - Friday, from 6:00 a.m. to 12 Midnight Eastern Time, by email [ebc@uspto.gov](mailto:ebc@uspto.gov) or telephone 866-217-9197.